



Express Mail No.: EL 452 481 520 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Shankar *et al.*

Serial No.: 09/904,099

Art Unit: To be assigned

Filed: July 11, 2001

Examiner: To be assigned

For: CHIMERIC G PROTEIN COUPLED
RECEPTOR

Attorney Docket No.: 10602-013-999

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT
APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCES AND/OR AMINO ACID
SEQUENCE DISCLOSURES**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. § 1.821, Applicants, in connection with the above-identified patent application, submit herewith a Sequence Listing in paper and computer readable form pursuant to 37 C.F.R. §§ 1.821(c) and (e), and a copy of the Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequences And/Or Amino Acid Sequence Disclosures.

I hereby state that the content of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 C.F.R. §§ 1.821(c) and (e), respectively, are the same.

No fees are believed due in connection with this response. However, the Commissioner is authorized to charge all required fees, fees under 37 C.F.R. § 1.17 and all required extension of time fees, or credit any overpayment, to Pennie & Edmonds U.S. Deposit Account No. 16-1150 (order no. 10602-013-999).

Respectfully submitted,

Date: October 11, 2001

42,983

Rahul Pathak

(Reg. No.)

for Thomas D. Kohler (Reg. No. 32,797)

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Express Mail No.: EL 452 481 520 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Shankar *et al.*

Serial No.: 09/904,099

Group Art Unit: 1645

Filed: July 11, 2001

Examiner: To Be Assigned

For: CHIMERIC G PROTEIN COUPLED
RECEPTORS

Attorney Docket No.: 10602-013-999

RESPONSE TO NOTICE TO FILE MISSING PARTS
FILING DATE GRANTED

BOX: Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the U.S. Patent and Trademark Office on September 10, 2001, Applicants submit the following documents to complete the filing for the above-identified application:

1. An executed Declaration for Non-Provisional Patent Application;
2. An executed Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 CFR 3.71;
3. A Joint Assignment executed by the inventors and Recordation Form Cover Sheet;
and
4. A return copy of Notice to File Missing Parts of Application - Filing Date Granted.

Additionally, Applicants submit herewith a Communication submitting a Sequence Listing in paper and computer readable form pursuant to 37 C.F.R. §1.821.

The United States Patent and Trademark Office is hereby authorized to charge the following fee to Deposit Account No. 16-1150:


1. Statutory Basic Filing Fee (Small Entity)	\$ 355.00
2. Additional Claim Fees:	
- 19 claims over 20	\$ 171.00
- multiple dependent claim surcharge	\$ 135.00
3. Surcharge Fee for filing declaration on a date later than the filing date of the application. (Small Entity)	<u>\$ 65.00</u>
TOTAL (Small Entity)	\$ 726.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper communication or credit any overpayment to Pennie & Edmonds LLP Deposit Account No. 16-1150.

The documents submitted complete the filing of the above patent application and justify the filing date of July 11, 2001. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Dated: October 11, 2001


Rahul Pathak 42,983
(Reg. No.)

For: Thomas D. Kohler (Reg. No. 32,797)
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(650) 493-4935

Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/904,099	07/11/2001	Geetha Shankar	10602-013-999

24341
Pennie & Edmonds, LLP
3300 Hillview Avenue
Palo Alto, CA 94304



CONFIRMATION NO. 1334

FORMALITIES LETTER



OC000000006539959

Date Mailed: 09/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$306.
 - \$171 for 19 total claims over 20.
 - \$135 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 726.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

10/17/2001 NROCHA1 00000082 161150 09904099

01 FC:201	370.00 CH
02 FC:203	171.00 CH
03 FC:204	140.00 CH
04 FC:205	65.00 CH

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE